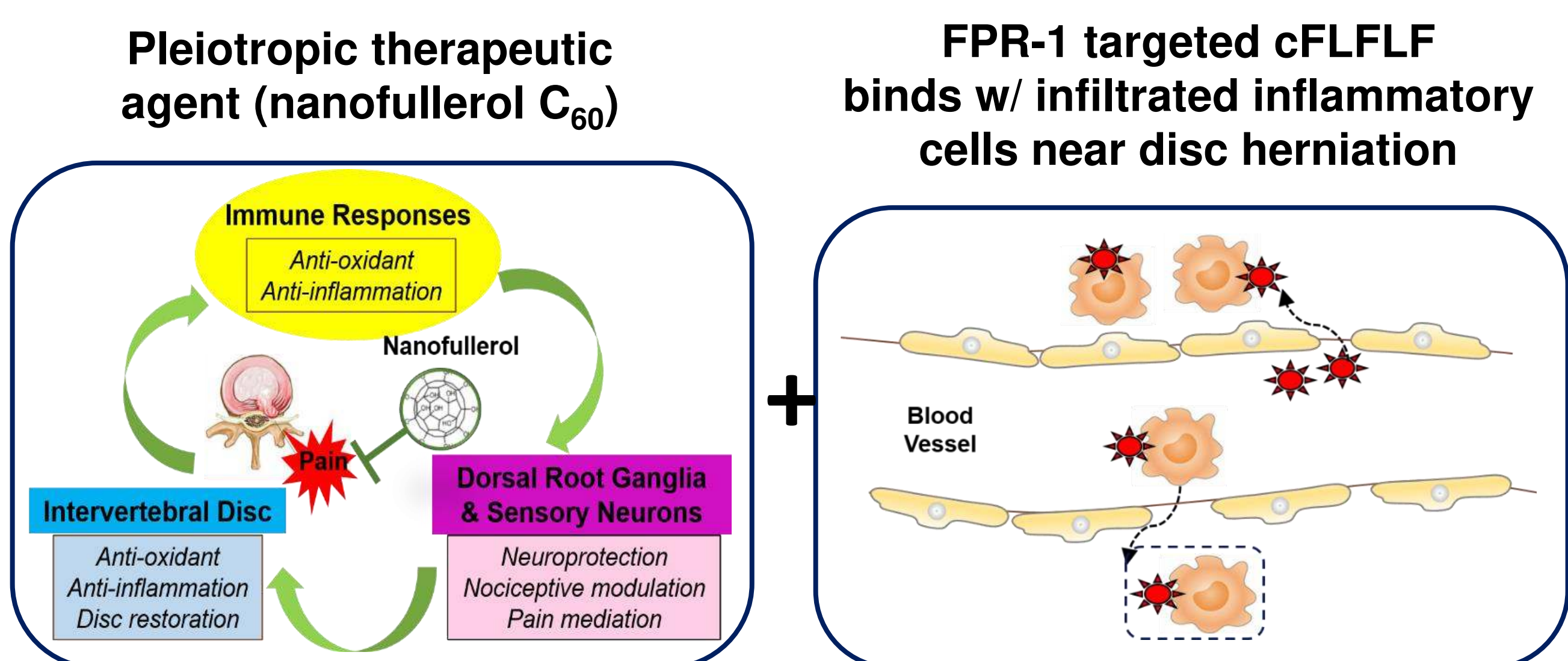


Li Xiao<sup>1</sup>, Tinghui Li<sup>2</sup>, Yi Zhang<sup>3</sup>, Jun Dai<sup>1</sup>, Francis H. Shen<sup>1</sup>, Li Jin<sup>1</sup>, Harry C. Dorn<sup>2,4</sup>, Xudong Li<sup>1,5</sup>  
 Departments of <sup>1</sup>Orthopaedic Surgery, and <sup>5</sup>Biomedical Engineering, University of Virginia, Charlottesville, Virginia; <sup>2</sup>Department of Chemistry, Virginia Polytechnic Institute and State University, Blacksburg, Virginia; <sup>3</sup>Biomedical Imaging Research Institute, Cedars-Sinai Medical Center, Los Angeles, California; <sup>4</sup>Virginia Tech Carilion Research Institute, Roanoke, Virginia

## Introduction

**Low back pain** is the most common health problem with a prevalence of over 80%, and an estimated annual cost of \$100 billion in U.S. **Intervertebral disc degeneration** is a major cause of low back pain. Current symptomatic relief interventions cannot improve long-term outcomes of discogenic low back pain, as *no disease-modifying medications are yet available*<sup>1</sup>.

**Inflammation** has been revealed to play a crucial role in disease progression. We have demonstrated anti-inflammatory nanomedicine candidate **C<sub>60</sub>** and its chemical derivatives as a new class of **pleiotropic therapeutic agent** to alleviate **lumbar radiculopathy** (Fig. 1)<sup>2-4</sup>. We also discovered a formyl peptide receptor 1 (FPR-1) specific peptide cFLFLF with robust **targeting** property towards infiltrated **inflammatory cells**, e.g. macrophages, near herniated disc (Fig. 1)<sup>5-7</sup>.

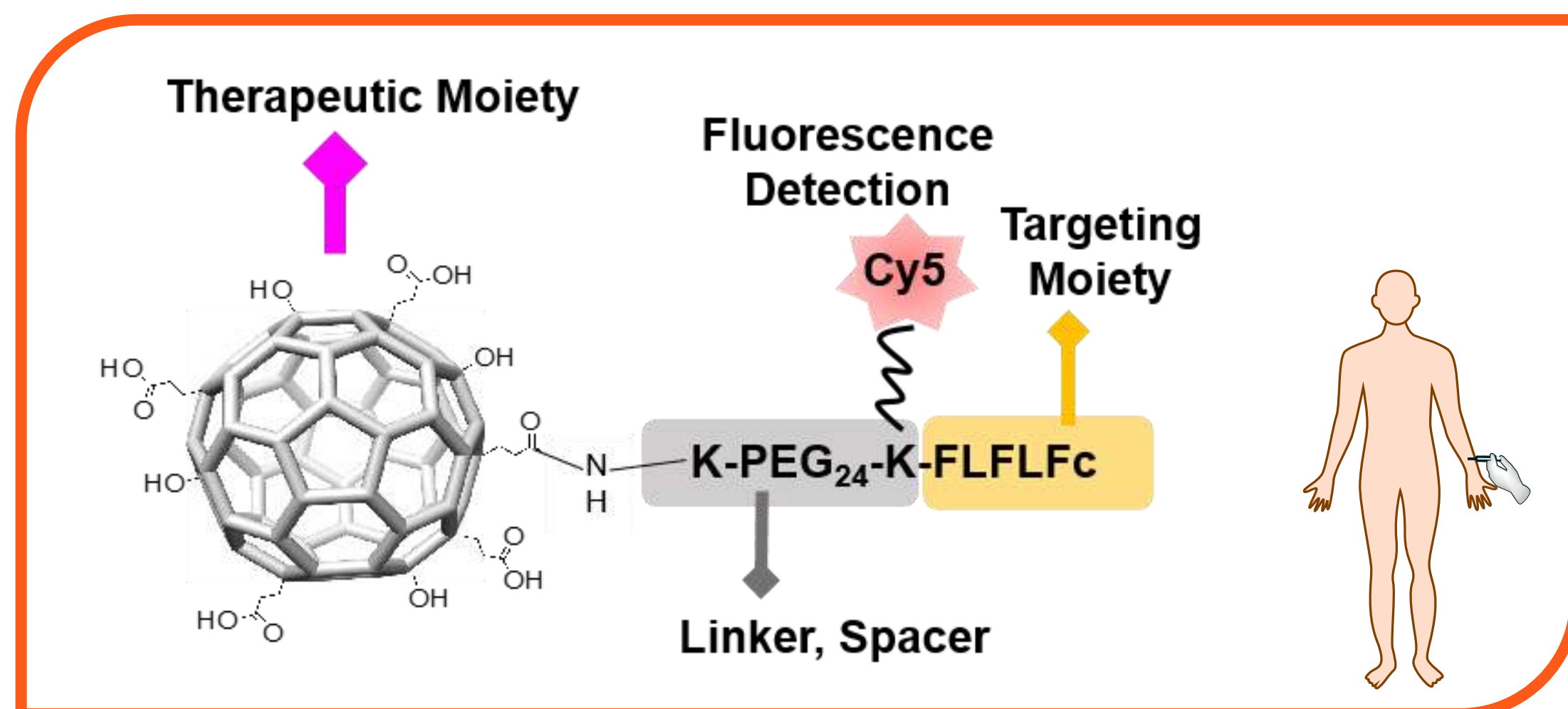


**Figure 1. Schematic illustration on therapeutic function of nanofullerol C<sub>60</sub> class and FPR-1 targeting mechanism of cFLFLF-based peptides<sup>2-7</sup>.**

## Core Strategy—Systemic & targeted delivery

Here, we aim to develop a targeted nanomedicine (Fluorescence labeled Targeted C<sub>60</sub> derivative, “FT-C<sub>60</sub>”) to alleviate lumbar radiculopathy via systemic delivery. FT-C<sub>60</sub> has a modular design, including a functionalized C<sub>60</sub> as therapeutic moiety, a polyethylene glycol (PEG) and lysines (K) as linker and spacer, a cFLFLF peptide as targeting moiety, and a near infrared fluorescence dye Cyanine 5 (Cy5) for characterization, *in vitro* and *in vivo* detection (Fig. 2).

### First-of-its-kind targeted theranostic agent FT-C<sub>60</sub> for systemic treatment of discogenic back/leg pain

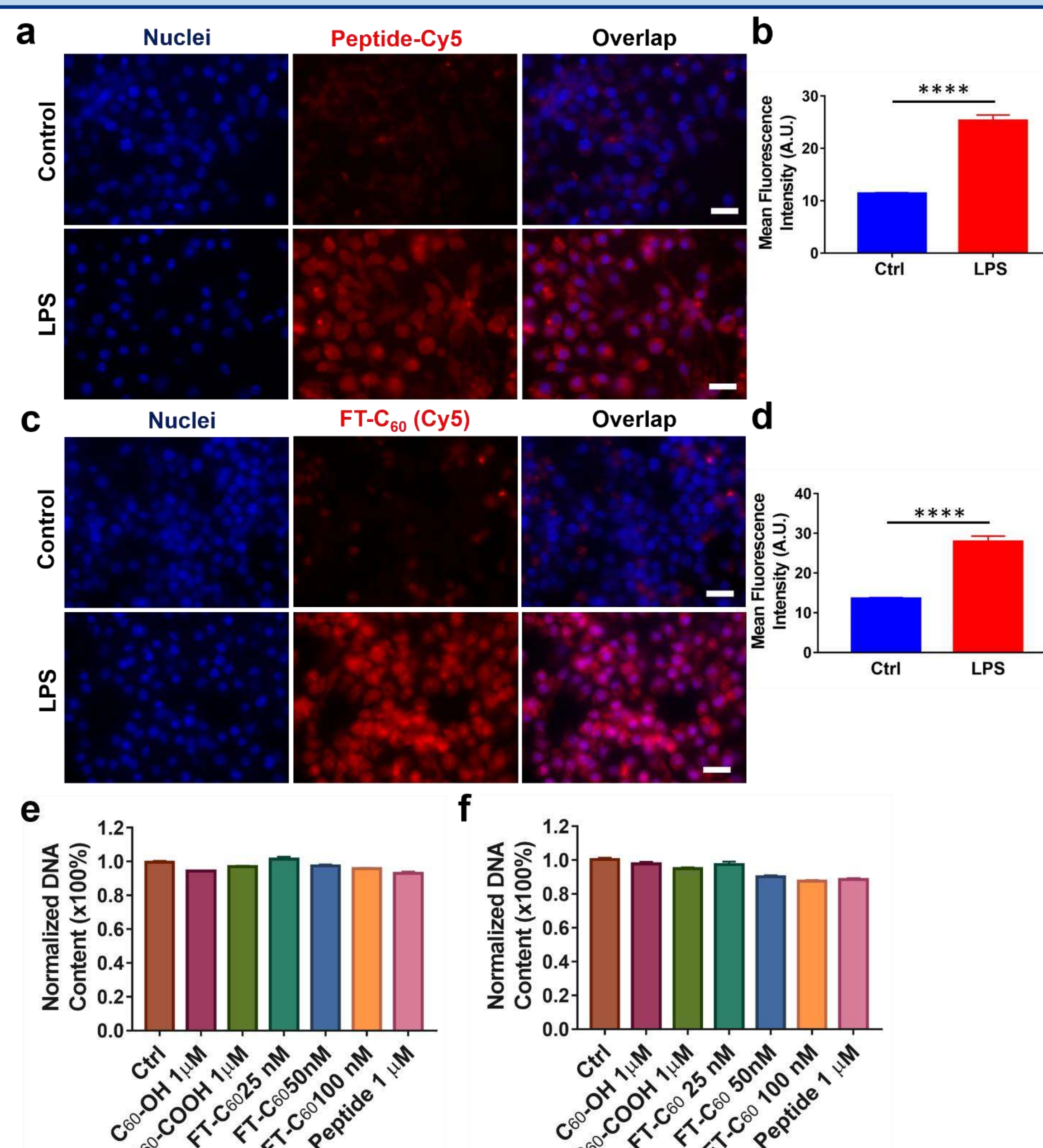


**Figure 2. Illustration of our core strategy in newly developed first-of-its-kind theranostic agent FT-C<sub>60</sub>, empowering systemic delivery of nanomedicine to treat discogenic back/leg pain.**

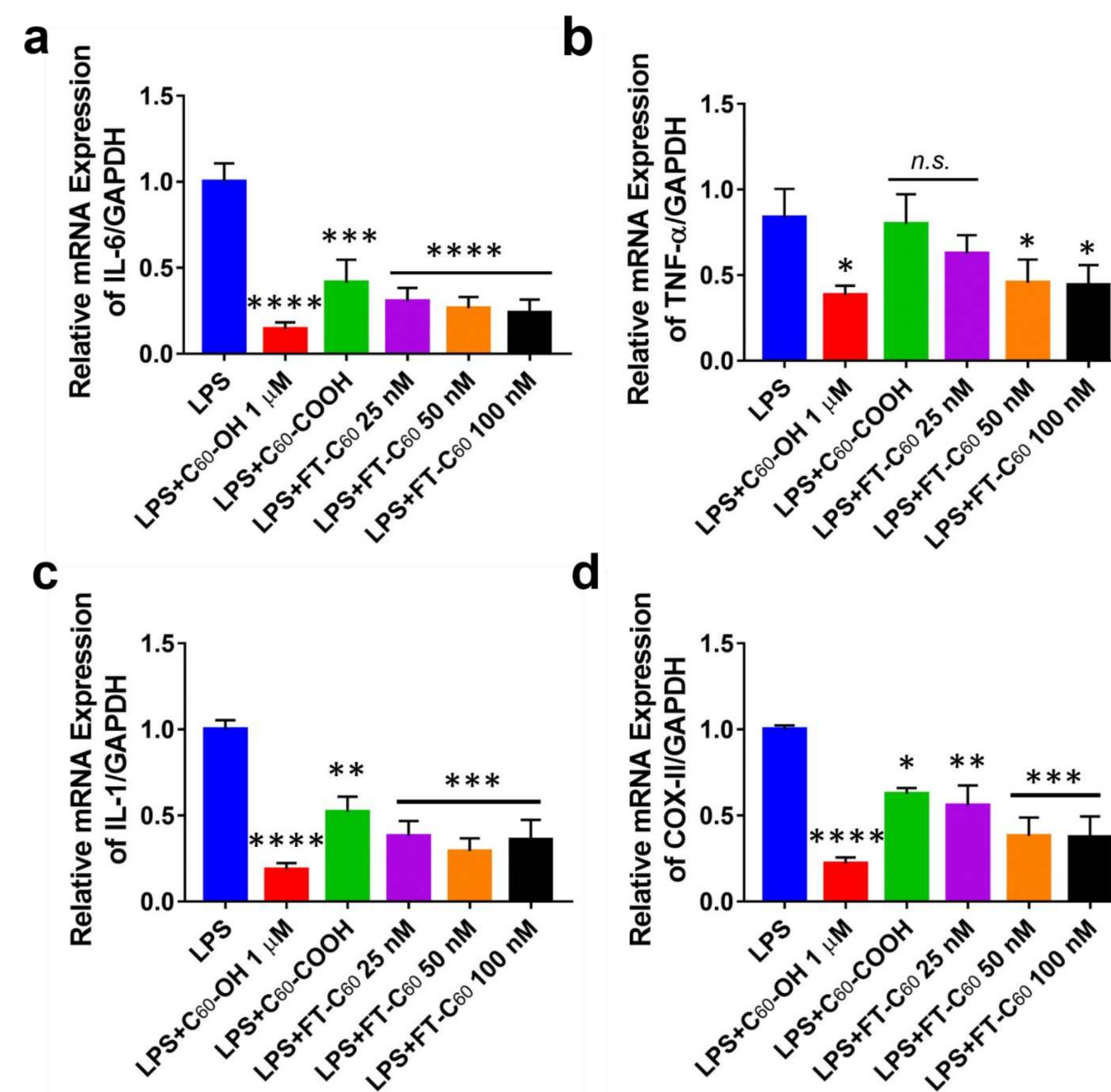
## References

- [1] Xiao, L.; Ding, M.; Fernandez, A.; Zhao, P.; Jin, L.; Li, X., Curcumin alleviates lumbar radiculopathy by reducing neuroinflammation, oxidative stress and nociceptive factors. *Eur Cell Mater* **2017**, *33*, 279-293.
- [2] Xiao, L.; Hong, K.; Roberson, C.; Ding, M.; Fernandez, A.; Shen, F.; Jin, L.; Sonkusare, S.; Li, X., Hydroxylated Fullerene: A Stellar Nanomedicine to Treat Lumbar Radiculopathy via Antagonizing TNF- $\alpha$ -Induced Ion Channel Activation, Calcium Signaling, and Neuropeptide Production. *ACS Biomater Sci Eng* **2018**, *4* (1), 266-277.
- [3] Jin, L.; Ding, M.; Oklopac, A.; Aghdasi, B.; Xiao, L.; Li, Z.; Jevtic-Todorovic, V.; Li, X., Nanoparticle fullerol alleviates radiculopathy via NLRP3 inflammasome and neuropeptides. *Nanomedicine: NBM* **2017**, *13* (6), 2049-2059.
- [4] Li, T.; Xiao, L. (co-first); Yang, J.; Ding, M.; Zhou, Z.; LaConte, L.; Jin, L.; Dorn, H. C.; Li, X., Trimetallic Nitride Endohedral Fullerenes Carboxyl-Gd3N@C80: A New Theranostic Agent for Combating Oxidative Stress and Resolving Inflammation. *ACS Appl Mater Interfaces* **2017**, *9* (21), 17681-17687.
- [5] Xiao, L.; Ding, M.; Zhang, Y.; Chordia, M.; Pan, D.; Shimer, A.; Shen, F.; Glover, D.; Jin, L.; Li, X., A Novel Modality for Functional Imaging in Acute Intervertebral Disc Herniation via Tracking Leukocyte Infiltration. *Mol Imaging Biol* **2017**, *19* (5), 703-713.
- [6] Xiao, L.; Zhang, Y.; Liu, Z.; Yang, M.; Pu, L.; Pan, D., Synthesis of the Cyanine 7 labeled neutrophil-specific agents for noninvasive near infrared fluorescence imaging. *Bioorganic Med Chem Lett* **2010**, *20* (12), 3515-3517.
- [7] Xiao, L.; Zhang, Y.; Berr, S. S.; Chordia, M. D.; Pramoongjago, P.; Pu, L.; Pan, D., A Novel Near-Infrared Fluorescence Imaging Probe for in Vivo Neutrophil Tracking. *Mol Imaging* **2012**, *11* (5), 372-382.

## Preferential binding & anti-inflammation



**Figure 3. FT-C<sub>60</sub> showed preferential binding towards LPS stimulated FPR-1 presenting macrophages with negligible cytotoxicity *in vitro*.** Fluorescence cell images showed both (a) peptide (1  $\mu$ M) and (c) FT-C<sub>60</sub> (100 nM) possessed preferential binding to LPS-stimulated FPR-1 presenting macrophages v.s. controls. Images were taken at a magnification of  $\times 600$  using Cy5 and DAPI channels. Scale bar=20  $\mu$ m. Mean fluorescence intensity per cell of (b) peptide and (d) FT-C<sub>60</sub> cell binding corroborated visual observation (\*\*\*\* $p < 0.0001$ , *t*-test). FT-C<sub>60</sub> at various doses exhibited negligible cytotoxicity in macrophages after (e) 1 day and (f) 3 days.



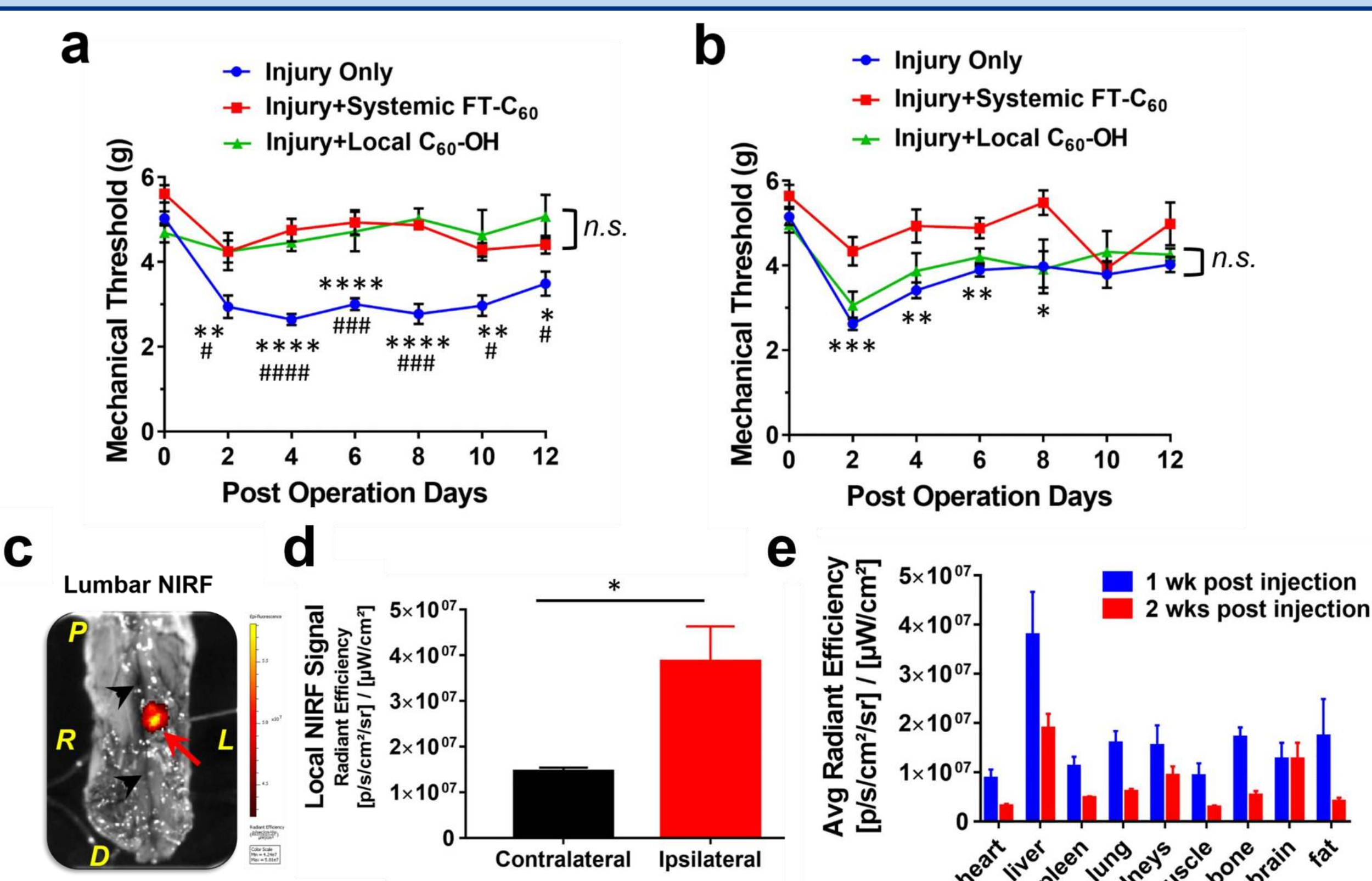
**Figure 4. FT-C<sub>60</sub> effectively inhibited LPS-induced pro-inflammatory genes in macrophages in a dose-dependent manner.** FT-C<sub>60</sub> protected macrophages from LPS stimulation via preventing mRNA expression of (a) IL-6, (b) TNF- $\alpha$ , (c) IL-1, and (d) COX-II, with similar protective and anti-inflammatory effects of C<sub>60</sub>-OH and C<sub>60</sub>-COOH. Cells were pre-treated with nanomedicine candidates overnight before LPS (100 ng/mL, 5 hr) stimulation. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$  v.s. LPS treated groups; *n.s.*, not significant.

## Acknowledgement

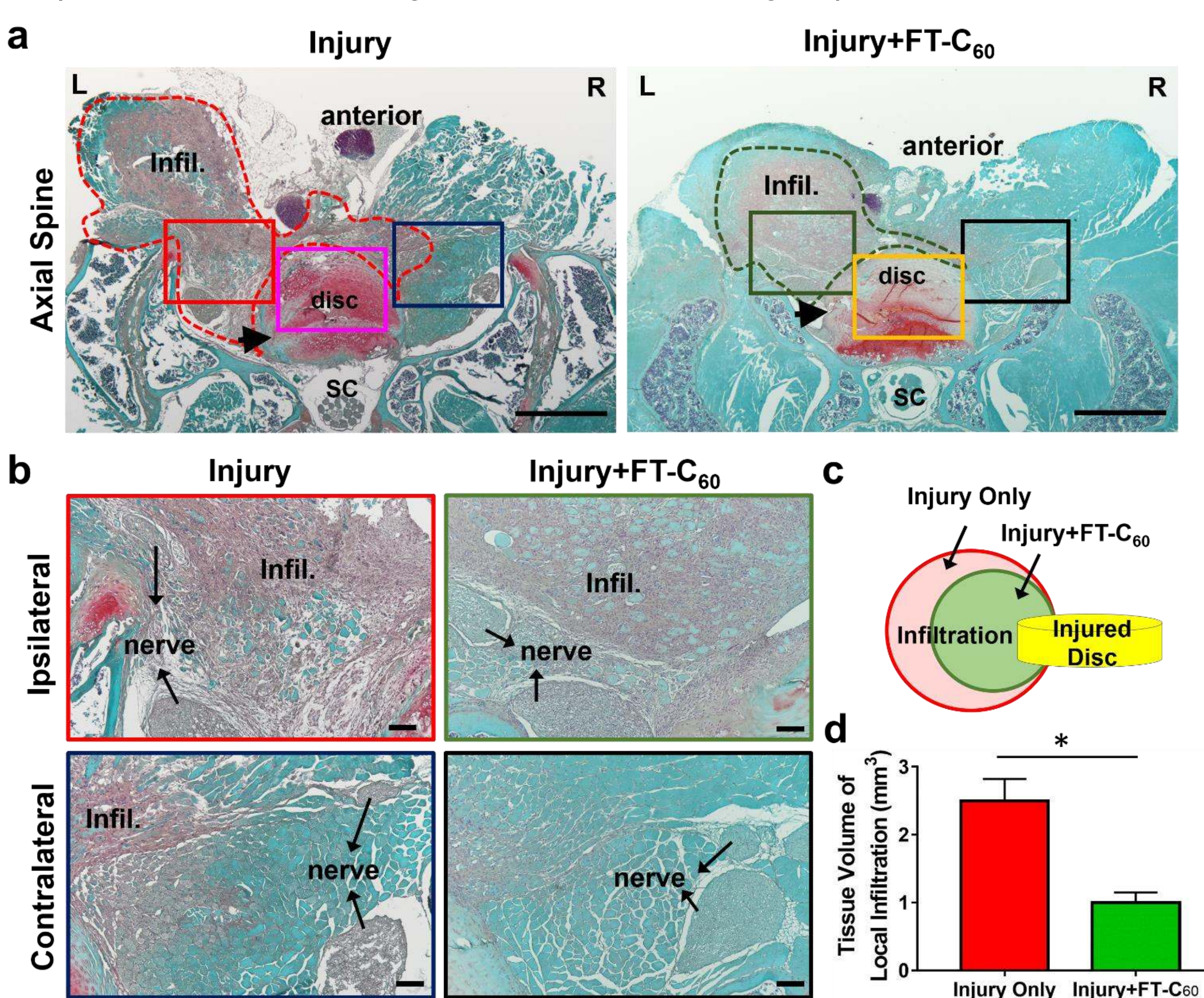
This work was supported by funding from National Institute of Arthritis and Musculoskeletal and Skin Disease of U.S. National Institutes of Health R01AR064792, R21AR057512, R21AR072334 North American Spine Society, University of Virginia Engineering in Medicine funding, and Commonwealth Health Research Board (CHRB) 207-10-18.



## In vivo targeted delivery and therapeutics



**Figure 5. Systemic delivery of newly developed targeted nanomedicine candidate (FT-C<sub>60</sub>) effectively attenuated pain in a mouse model of lumbar radiculopathy.** (a) Single injection (*i.v.*) of FT-C<sub>60</sub> (10 nmol per 20 g mice) effectively attenuated mechanical hyperalgesia in mouse up to POD 12, similar to intra-operative local administration of C<sub>60</sub>-OH (1  $\mu$ M, 10  $\mu$ L). (b) Neither injury nor injury+treatments (systemic & local) group showed significantly altered contralateral mechanical threshold. (c) *Ex vivo* near infrared fluorescence imaging (NIRF) of mouse spine depicted targeting property of FT-C<sub>60</sub> towards inflammatory infiltration of injured discs at POD 7 (also 7 days after *i.v.* injection of FT-C<sub>60</sub>), evidenced by NIRF signal registration with microscopically confirmed disc herniation site (red arrow). P, proximal; D, distal; L, left (ipsilateral); R, right (contralateral); Black arrowheads, mid-plane of spine. (d) Semi-quantitative analysis supported significantly higher ( $\sim 3$ -fold) NIRF signal (targeted FT-C<sub>60</sub> accumulation) at ipsilateral disc herniation site, compared to contralateral side (\* $p < 0.05$ ). (e) Organ distribution of FT-C<sub>60</sub> illustrated dynamic hepatobiliary clearance of systemic delivered FT-C<sub>60</sub> for up to 2 weeks, grounding for further pharmacodynamic/kinetic studies. Note: One way ANOVA with multiple comparison and multiple *t*-test were used in (a) and (b). For Injured Only group, \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$  v.s. Injury+Systemic FT-C<sub>60</sub>, and # $p < 0.05$ , ## $p < 0.01$ , ### $p < 0.001$ , #### $p < 0.0001$  v.s. Injury+Local C<sub>60</sub> group. *n.s.* indicated not significant between two groups.



**Figure 6. Safranin-O/fast green staining showed attenuated infiltration tissue volume near disc herniation site at POD7.** (a) Low ( $\times 40$ ) and (b) high ( $\times 200$ ) magnification axial spine images. (c), (d) Customized quantitative analysis of anterior infiltration tissue exhibited that systemic administered FT-C<sub>60</sub> reduced calculated tissue volume of inflammatory infiltration for more than 50%. Infil, inflammatory infiltration; SC, spinal cord; nerve, spinal nerve; AF, annulus fibrosus; NP, nucleus pulposus; Scale bar represents 500  $\mu$ m in (a) and 100  $\mu$ m in (b).

## Summary & Significance

- We developed a *first-of-its-kind* systemic and targeted nanomedicine candidate to treat lumbar radiculopathy.
- Our promising *in vitro* and *in vivo* data warrants further structure-activity relationship, PK/PD, and mechanistic studies for translational applications.